



# **The Ensure integrated project for the vulnerability and resilience assessment**

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Something regarding the test areas and ideas about partners contribution  
Ensure (Enhancing resilience of communities and territories facing natural and na-tech hazards)

BRGM

Coordination, seismic

UNIGE

Vulcanology

TAU

Drought, data mining

MDX

Floods

PIK

Climate change

ITC

Economic aspects

T6 ECO

Multihazard/multirisk

HUA

Systemic, urban

UNINA

Urban, na-tech

POLIMI

Scientific coordination

**Quoting by heart from Vale and Campanella “The resilient city”**

**We may all be made to survive but it takes intelligence and competence to survive well**





# WHAT KIND OF PREVENTIVE STRATEGIES CAN BE FORESEEN AS A RESULT OF THE ANALYSIS?



# Risk management strategies:

## \* Adaptation



## \* Mitigation

- prepare before **the-event**
- decide and face the **crisis**
- return to normalcy and learn from the event in the **event aftermath**

## \* Damage reduction



## \* Prevention

- avoid/prevent the **hazard**
- avoid **exposure**

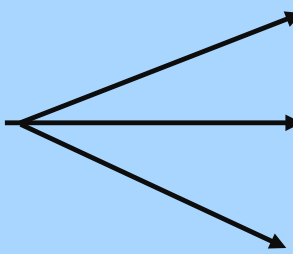
# Risk management strategies:

## \* Adaptation

- Basically you try do adapt, to live with the risk in some mindful way
- It may be considered a sort of “passive” measure, based on knowledge



# Risk management strategies:

- \* Mitigation** 
  - prepare before **the-event**
  - decide and face the **crisis**
  - return to normalcy and learn from the event in the **event aftermath**
- You try to reduce the severity of the event, avoiding enchained effects, reducing the consequences of the impact (the consequences of losses on the built environment and on communities)



# Risk management strategies:

## \* Damage reduction

- It requires significant investment to reduce the damage, particularly physical damage to buildings and infrastructures



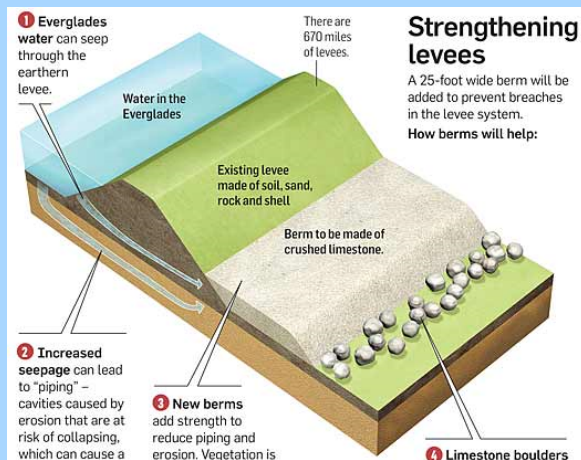


# Risk management strategies:

## \* Prevention

-avoid/prevent the **hazard, not so effective, particularly for extreme events**


- avoid **exposure, land use planning, relocation, generally not so successful because of political constraints, lack of compliance**



Commune de Veurey-Voroize





PLAN DE PREVENTION DES RISQUES NATURELS PREVISIBLES

ZONAGE REGLEMENTAIRE DU RISQUE hors débordement de l'Isère (sur fond topographique)

 **rtm**  
restauration des territoires en montagne  
direction départementale de l'agriculture et de la forêt

Préfecture de l'ISÈRE

Niveau de contraintes\* :

	Zones d'interdictions
	Zone de projet possible sous maîtrise collective
	Zones de contraintes faibles
	Zones sans contraintes spécifiques

The choice between different risk management solutions (or combination of solutions) depend on various factors, among which:

- Constraints in time
- Constraints in financial resources
- Constraints in human resources
- Competing social demands (with limited resources)



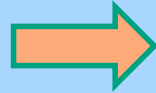
To what extent risk assessment methods are actually good enough to support different risk management solutions and the decision making process to select the most suitable for the area, community at stake?

Which brings us back to the initial question:

## WHAT KIND OF PREVENTIVE STRATEGIES CAN BE FORESEEN AS A RESULT OF THE ANALYSIS?

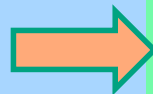


Hazard analysis:  
what mitigation  
strategies?



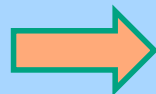
What can I do? Prevent the  
hazard potential (limited to a  
number of natural hazards)

Exposure analysis:  
what mitigation  
strategies?



What can I do? Prevent/limit  
the exposure through land  
use planning or relocating

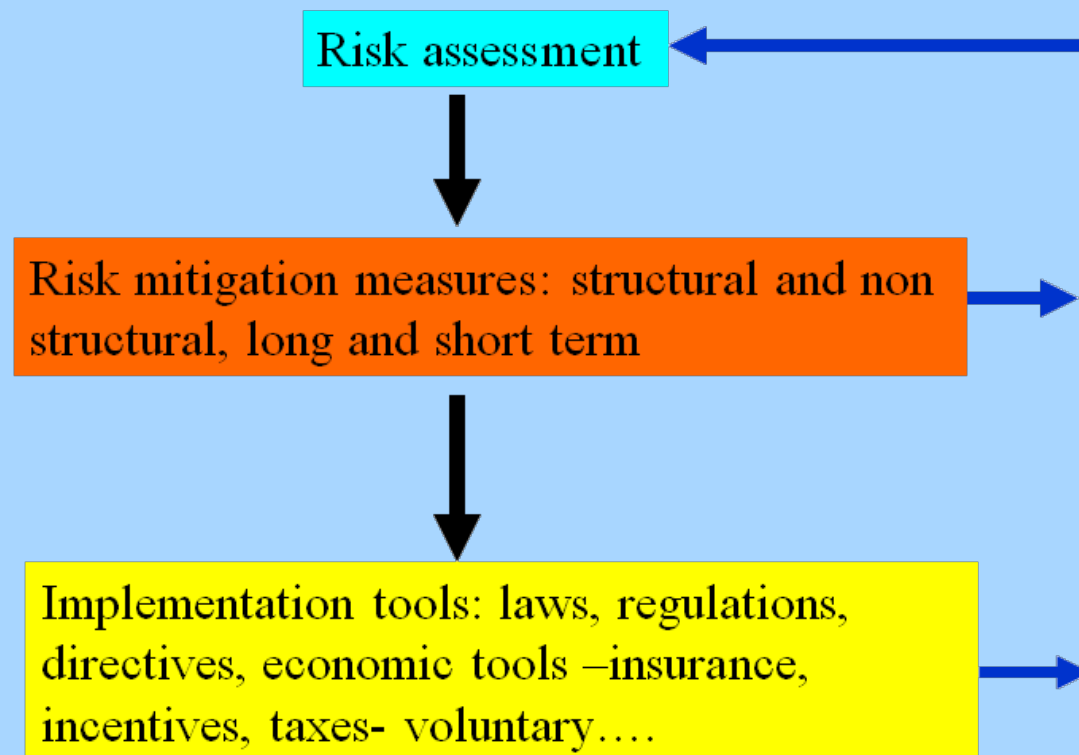
Vulnerability and  
resilience  
assessment: what  
mitigation  
strategies?



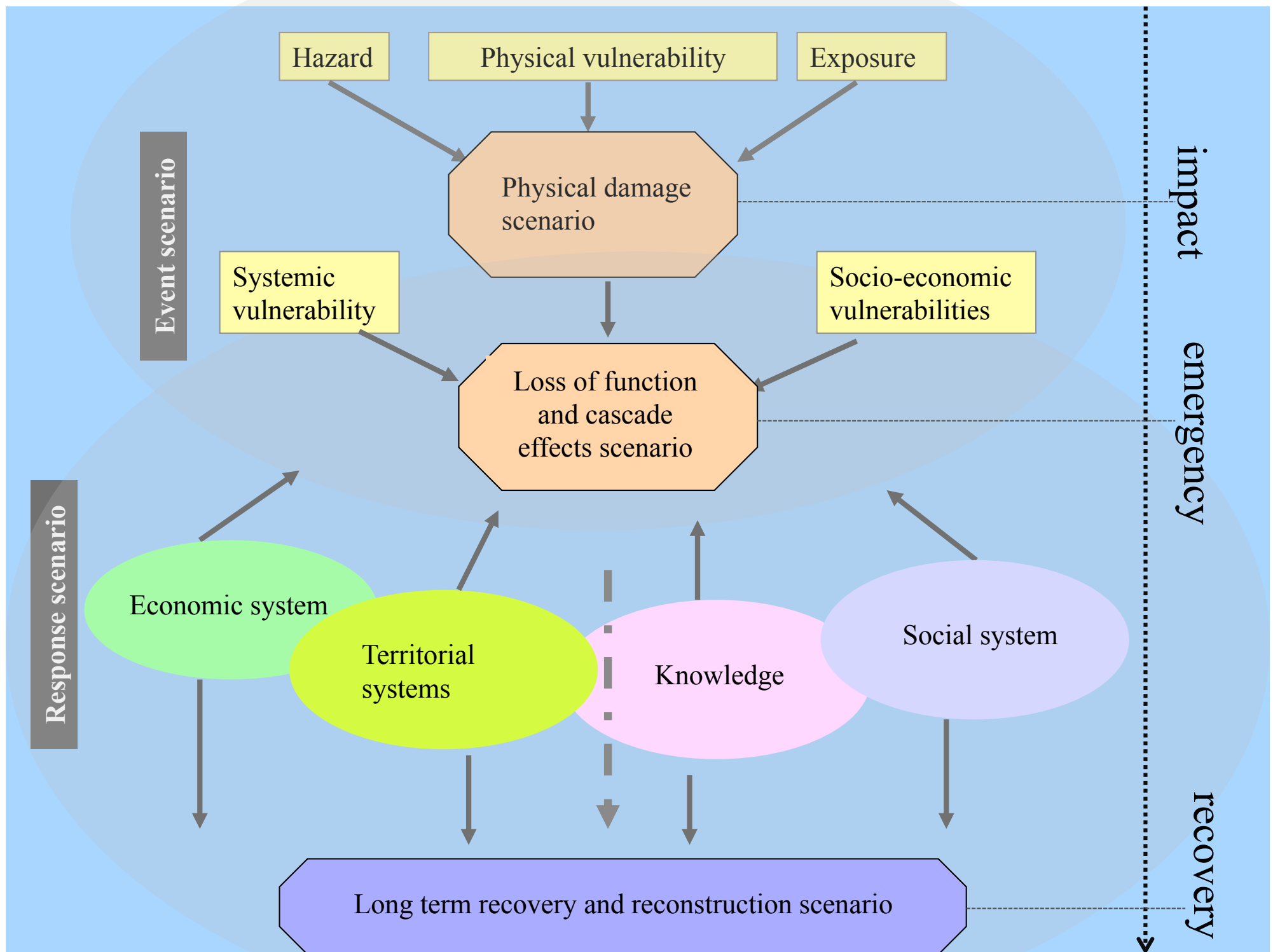
What can I do? Reduce  
physical vulnerability,  
mitigate systemic, enhance  
response capacities

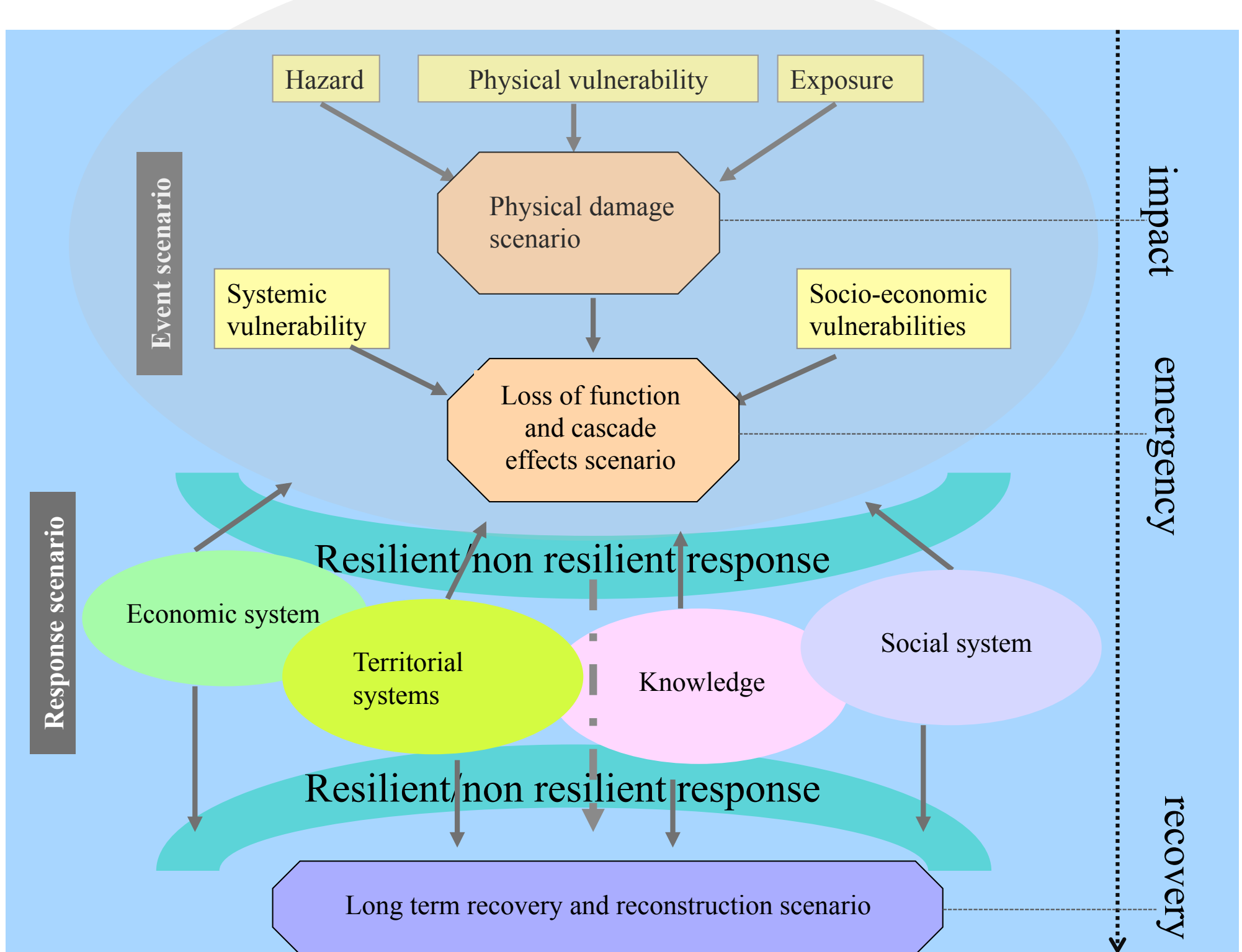


How risk assessment is carried out has a strong and fundamental influence on the type of risk management strategies and prevention measures that can be decided

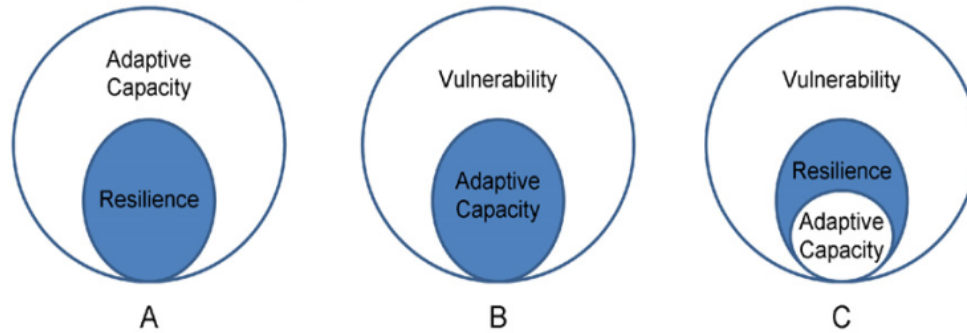


In the Ensure project the focus has been on vulnerability and resilience assessment

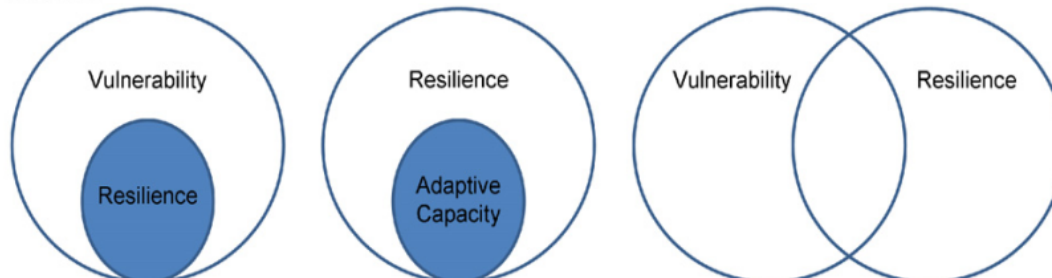




## Global Environmental Change



## Hazards



**resilience ~  
vulnerability**

vulnerability:

how prone is a  
system to be  
damaged in case  
of a given stress

→ capacity to bounce back and even more: to transform damage into opportunities

## Resilience

→ capacity to face uncertainties

→ capacity to face change (is change always negative? Do we need resilience also to face positive change?)



# Methodology



\*extracting concepts



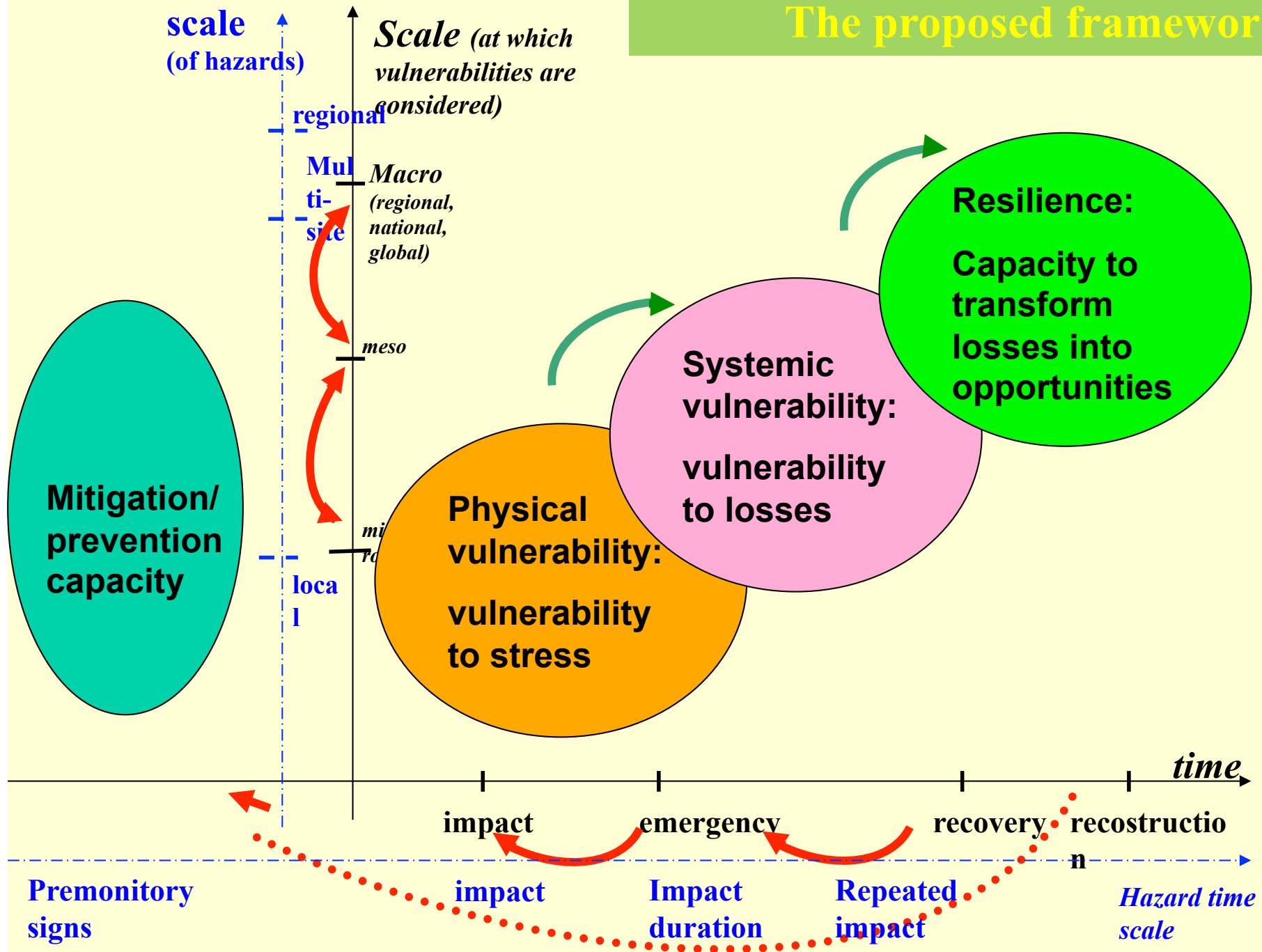
\* Case studies from previous studies, literature test areas of the project

\* development of a framework basically a model for vulnerability and resilience assessment



\* verify on case studies

## The proposed framework



**vulnerability**  **space**  
**time**

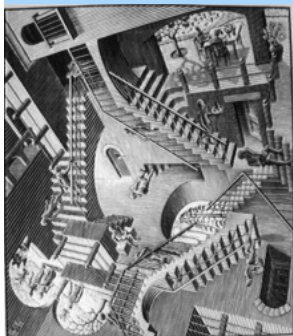
**spatial**

scales for  
vulnerability  
assessment

**regional**



**local**



**temporal**

**Past**

vulnerability  
creation

**Present**

vulnerability  
evolution  
assessment

**Future**  
Scenarios

*Pre-disaster*

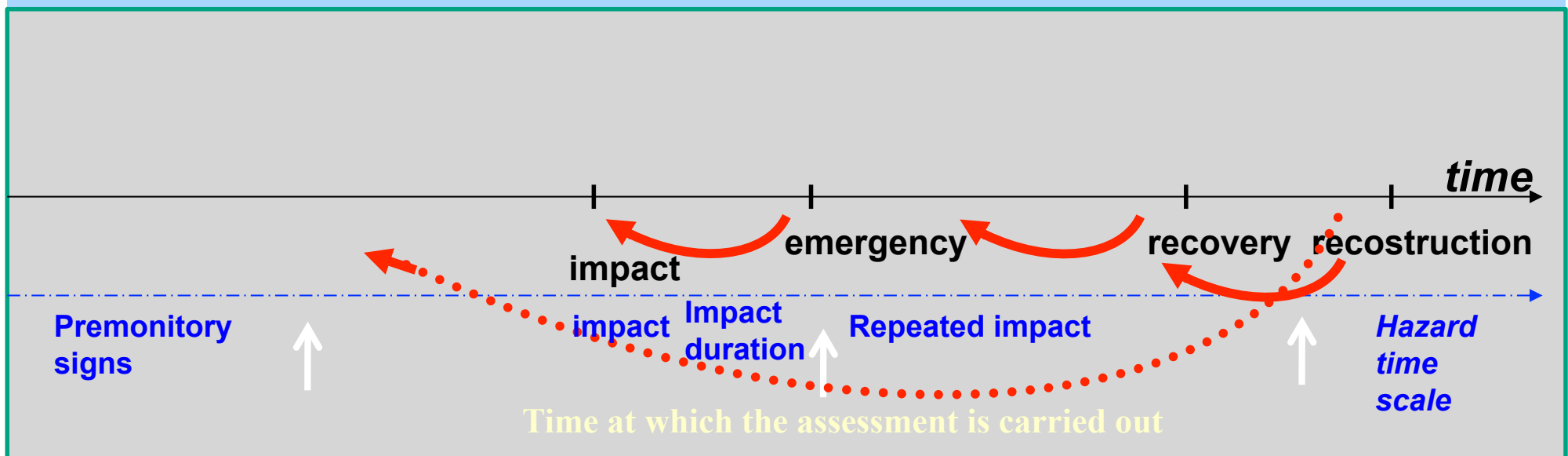
*reconstruction*

Turner et al., 2003, “Vulnerability rests in a multifaceted coupled system with **connections operating at different spatiotemporal scales** and commonly involving stochastic and non-linear processes”.

## Time scale: some thoughts

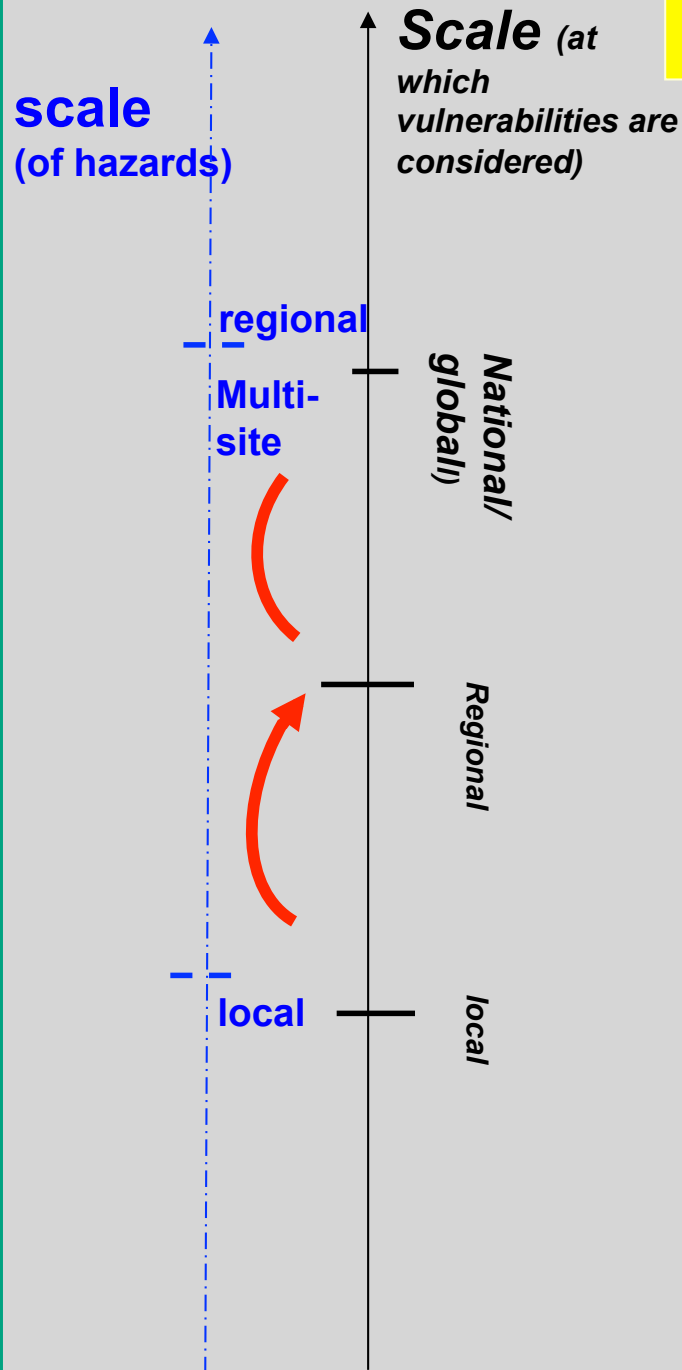
### Key points:

- \* Time at which the assessment is carried out (different time available as well)
- \* Time scale of the hazard does not coincide with event time scale (aftershocks, duration )
- \* Time cross – level relations





## Spatial scale: some thoughts



### Key points:

- \* Tension between local scale and larger scales
- \* Emergent aspects (relevant for systemic vulnerability for example)
- \* Cross-level relationships: influence of vulnerability at one scale (agency for example) on another scale (laws, regulations, strategies)

<b>resilience: mitigation capacities</b>		
systems	parameters	depending on:
<b>natural environment</b>		capacity of systems to:
<b>built environment</b> (structures including structural mitigation measures)	* existence of build. codes for new * existence of codes rules for re	* embed prevention into ordinary activities
<b>urban fabric</b>	* mitigation in ordinary	
<b>critical infrastructure and facilities</b>	* build in res in new pro	



Simplification: each matrix address a specific aspect of the exposed systems across time and space

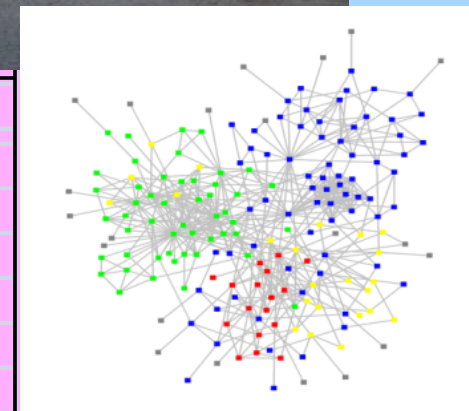
#### **physical vulnerability: physical damageab**

systems	parameters	depending on:
natural environment	* vulnerability to stress	specific aspects of individual hazards (or
built environment (including structural measures)	* structural features * concentration	enchained ones)



#### **systemic vulnerability: vulnerability to**

systems	parameters	depending on:
natural environment	* vulnerability to na-tech	losses and the consequence
built environment		<b>losses</b> may have on
urban fabric	* external and internal accessibility	



#### **resilience: response capability in the long run**

systems	parameters	depending on:
natural environment	* cleaning up tools	capacity of systems to:
built environment	* availability of materials * availability of skilled workers	* recover from losses
urban fabric	* mitigation embedded in	

**scale**  
(of hazards)

**Scale** (at which vulnerabilities are considered)

regional

Multi-site

National/  
global)

Regional

local

resilience: mitigation capacities		
systems	parameters	depending on:
natural environment		capacity of systems to:
built environment (structures including structural mitigation measures)	* existence of build. codes for new rules for retrofitting	* embed prevention into ordinary activities
urban fabric	* mitigation embedded in ordinary plans	* embed mitigation in projects
critical infrastructures and facilities	* build in resilience in new projects	
production sites	* build in resilience in modernization programs	
agents (examples)		
population in hazardous areas	* ongoing education programs	key criteria: * capacity to enforce
	* access to insurance	* keeping attention on mitigation
governmental organisations	* capacity to enforce prevention despite uncertainties	
	* capacity to invest in implementation tools	
economic stakeholders	* including business continuity in plans	
	* insurance coverage	

physical vulnerability: physical damage		
systems	parameters	depending on:
natural environment	* vulnerability to stress	specific aspects of individual hazards (or enchainned ones)
built environment (including structural measures)	* structural features	
urban fabric	* concentration	
	* maintenance	
critical infrastructures and facilities	* lifelines features	and to the response of systems and agents to the stress
	* hospitals features	
production sites	* agriculture: vulnerability to stress	
	* production sites features	
structural measures	* quality	
	* maintenance	
agents (examples)		
population living in hazardous zones	* age	key criteria: * physical characteristics
	* disabled...	* concentration
		* maintenance

systemic vulnerability: vulnerability to losses		
systems	parameters	depending on:
natural environment	* vulnerability to na-tech	losses and the consequence
built environment		losses may have on
urban fabric	* external and internal accessibility	
critical infrastructures and facilities	* dependency	individual sectors, activity
	* robustness	
production sites	* rapidity	
	* resourcefulness	
agents (examples)	* transferability	service
	* .....	key criteria: * ability to function
population living in hazardous areas	* preparedness	
	* access to information	* information
governmental organ.	* plans, preparation...	
	* sharing of information	
	* access to crucial knowledge	
economic stakeholders	* business continuity	

resilience: response capability in the long run		
systems	parameters	depending on:
natural environment	* cleaning up tools	capacity of systems to:
built environment	* availability of materials	* recover from losses
urban fabric	* availability of skilled workers	
critical infrastructures and facilities	* mitigation embedded in reconstruction plans	
production sites	* robustness	* transform losses into opportunities
	* flexibility	
	* resourcefulness	
	* substitutability	* reduce pre-event vulnerability
agents (examples)		
population in hazardous zones	* development	key criteria: * capacity to learn
	* social cohesion	* dynamic adaptation
	* access to credit	
	* access to institutions	
governmental organ.	* insurance coverage	
	* capacity to reorganise	
	* capacity to question	
	* access to knowledge	
	* capacity to enforce	
economic stakeholders	* insurance coverage	
	* capacity to recover...	
	* insurance coverage	

time

impact

emergency

recovery reconstruction

Premonitory signs

impact duration

Repeated impact

Hazard time scale

# Organisation of each matrix: different “components” of vulnerability

	System	Aspect	Parameters	Criteria for assessment	Descriptors	Application to case study
Natural environment	Natural ecosystems	Are natural ecosystems fragile to the potential effects of hazard(s)?	Are different crops/agriculture productions vulnerable?			
		Can natural systems interact with hazard(s)?	Is there a possibility of solid transport mechanisms			
		Are natural ecosystems vulnerable to mitigation measures taken particularly during the emergency phase?	Is there a possibility of water diversion that will subtract water from needing areas			
Built environment	Exposure vulnerability of environment and built environment	What are the factors that make buildings, the urban fabric and public facilities vulnerable to the stress?	Buildings structural vulnerability			
			Position with respect to hazardous zones			
			Content of buildings			
			Vulnerability assessment of public facilities			
Infrastructure and production sites	Critical infrastructures	What are the factors that make critical infrastructures vulnerable (mainly lifelines)	Water treatment plants; electrical power plants; other lifelines plants			
	Production sites	What are the factors that make production sites vulnerable (including na-tech potential)	Vulnerability assessment of production sites			
Social system (agents)	People/individuals	What are the factors that may lead to injuries and fatalities?	Location with respect to vulnerable buildings, roads, industrial sites			
			Preparedness			
			Depth of flood dangerous for individuals			
	Community and Institutions	What are the factors that may lead to large number of victims?	Age; mobility impairment, other impairment Population density in vulnerable areas			



	System	Aspect	Parameters	Criteria for assessment	Descriptors	Application to case study
Natural environr	Natural ecosystems	Are natural ecosystems fragile to the potential secondary effects of hazard(s)?	Are crops and other agricultural productions vulnerable to contaminated water	by type of production and concentration/type of contaminant	detailed analysis of potential contaminants sources in the area needed	
			Areas that may be vulnerable to secondary contamination	along the river, considering dispersion mode of contaminants	Contaminants, rock, stones, boulders, mud; transportation pocesses	
Built environmen	Exposure and vulnerability of built environment	What are the factors that make buildings, the urban fabric and public facilities vulnerable to losses?	Existance of public facilities: hospitals, fire brigades, emergency control rooms	yes/no; functional capacity of such facilities	assessment of functional potential of facilities	
			Accessibility to vulnerable areas	redundancy; quality of roads; usability; expected travel time		10,000 motorists stranded on motorway system. 500 rail passengers stranded. Tens and thousands more with disrupted
Infrastructure and production sites	Critical infrastructures	What are the factors that make critical infrastructures stop functioning?	Existance of lifelines	binary	yes/no	
			Continuity plan for lifelines, individually and in a coordinated fashion	binary	yes/no; considers all potential threats/does not	
			People and areas depending on lifelines in potentially affected zones	number/area dimension	number of customers who may be affected; geographic area	Number affected through loss of potable water supplies: 135,000 homes or 350,000 people for 17 days: i.e. 340,000 people outside the flood risk zone. Adaptation comprised providing large number of bottled water supplies but not without availability problems in some areas.
			Business continuity plan	binary	yes/no	Business continuity planning has become relatively well developed in the UK in the past decade and so we would expect many flood risk firms to have considered how they would ensure business continuity during a flood disaster. How many would probably not have considered prolonged loss of potable water supplies caused by flooding in the summer 2007 floods.
Social system (agents)	People/ individuals	What are the factors that may reduce coping capacity during crisis?	Access to understandable information	binary and redundancy	yes/no; radio and TV/special telephone number/internet	Everyone is able to obtain geographically specific flood warning information and flood advice (including on flood resilience measures) by telephoning the Environment Agency's FLOODline. Radio information is also available.
			Preparedness in case of event	degree	good/partial/low	People received severe weather and flood warnings but most did not expect utilities to suffer outages and so they were not prepared for this in most cases.
	Community and Institutions	What are the factors that may hamper effective crisis management?	Existance of contingency plan fro threats at stake	binary; date of last production or update	yes/no; recent/old	
	Economic stakeholders	Are economic stakeholders prepared to face crises?	Capacity to run economy and respond to crises	degree	yes/partially/no	
			Capacity to invest in recovery and take preventive actions	Binary or degree	Yes/no or none/partial/high	

